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**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Atty Docket No.: A01020B

**RECEIVED**

In re Application of: Palli et al

JUL 09 2002

Serial No. 09/965,703

Group Art Unit: 1645

TECH CENTER 1600/2300

Filed: September 26, 2001

:Examiner: Unassigned

For: NOVEL ECDYSONE RECEPTOR-BASED  
INDUCIBLE GENE EXPRESSION SYSTEM

Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with Applicant(s)' duty of disclosure under 37 CFR §§ 1.97 to 1.98, Applicant(s) submit(s) herewith copies of the documents listed on the enclosed form PTO-1449 "List of Art Cited by Applicant". Inclusion of a document in this Information Disclosure Statement and/or its attached form PTO-1449 is not intended to constitute an admission that any document so disclosed is "prior art" with respect to the present invention unless specifically so stated herein.

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above-identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of Request for Continued Examination under § 1.114, no additional fee is required.

The filing of the Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information, as defined in 37 CFR 1.56(a), exists.

In accordance with 37 CFR §1.98(a)(2), a copy of each item listed in the attached form PTO-1449 is included herewith.

The Examiner is requested to review the references cited herein and to make the references cited of record in the present application.

Respectfully submitted,

*Camille Jolly-Tornetta*

Camille Jolly-Tornetta, Ph.D.  
Agent for Applicants, Reg. No. 48,592

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, PA 19106-2399  
Date: June 26, 2002



Sheet 1 of 1

**LIST OF ART CITED BY APPLICANT**

(Use several sheets if necessary)

Atty Docket No. A01020B	Serial No. 09/965,703
Applicant Palli et al.	
Filing Date September 26, 2001	Group 1645

**U.S. Patent Documents**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AA	US6265173	07/24/2001	Evans et al.		
	AB	US5880333	03/09/1999	Goff et al.		
	AC	US5283173	02/01/1994	Fields et al.		
	AD	US6300488	10/09/2001	Gage et al.		
	AE					
	AF					
	AG					

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	Class/Subclass	Translation Yes/No
	AH	WO9958155	11/18/1999	PCT		
	AI	WO9902683	01/21/1999	PCT		
	AJ	WO9738117	10/16/1997	PCT		
	AK					
	AL					

**OTHER ART (Including Author, Title, Date, Pertinent Patents, etc.)**

	AM	Riddiford et al., 2000, Vitam Horn., 60:1-73
-	AN	Christopherson et al., 1992, PNAS, 89:6314-6318
-	AO	Suhr et al., 1996, PNAS, 95:7999-8004
-	AP	Yao, et al., 1993, Nature, 366:476-479
-	AR	Yao, et al., 1992, Cell, 71:63-72
	AS	No et al., 1996, PNAS, 93:3346-3351

Examiner

Date Considered

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.



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CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, DC 20231 on the date indicated next to my signature below:

Information Disclosure Statement (2 pgs.)

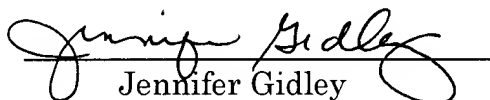
Form 1449 (1 pg.)

Copies of 13 Cited References

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Jennifer Gidley